



ifw

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: January 20, 2009

Signature: 

(Kelly Y. Howard)

Docket No.: PLASSR 3.3-001  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Garrigue et al.

Application No.: 10/569,316

Confirmation No.: 7860

Filed: February 22, 2006

Art Unit: 1618

For: SELF-NANOEMULSIFYING OILY  
FORMULATION FOR THE  
ADMINISTRATION OF POORLY  
WATER-SOLUBLE DRUGS

Examiner: Not Yet  
Assigned

**COMMUNICATION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Madam:

It has come to our attention that there has been an inadvertent clerical error in our record keeping which resulted in our request to delete the fifth- and sixth-named Applicants, "Shicheng Yang and Neslihan Gursoy" in our letter dated September 29, 2006, a copy of which is attached herewith as Exhibit A.

As it is clearly indicated in Declaration of inventorship under Rules 4.17(iv), which was submitted to the Patent and Trademark Office on February 22, 2006, there is a total of six inventors, including Shicheng Yang and Neslihan Gursoy, and they have declared to be joint inventors of the subject matter which is claimed in the above-referenced application. A copy of the Declaration is attached herewith as Exhibit B.

Although it is superfluous and unnecessary, we also enclose herewith Declaration of inventorship under Rules 1.63 executed by all six inventors, including "Shicheng Yang and Neslihan Gursoy," as Exhibit C.

In addition, we submit herewith a supplemental ADS adding the fifth and sixth inventors, "Shicheng Yang and Neslihan Gursoy" as joint inventors along with this communication.

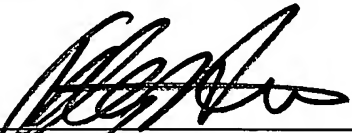
Accordingly, please kindly issue a corrected filing receipt for the above-identified application adding the fifth and sixth inventors as joint inventors since the deletion was due to inadvertent clerical error.

If, however, for any reason the Examiner does not believe that such action can be taken at this time, it is respectfully requested that he telephone Applicants' attorney at (908) 654-5000 in order to overcome any additional objections which he might have.

If there are any additional charges in connection with this Communication, the Examiner is authorized to charge Deposit Account No. 12-1095 therefor.

Dated: January 20, 2009

Respectfully submitted,

By 

Kelly Y. Hwang

Registration No.: 51,831  
LERNER, DAVID, LITTENBERG,  
KRUMHOLZ & MENTLIK, LLP  
600 South Avenue West  
Westfield, New Jersey 07090  
(908) 654-5000  
Attorney for Applicants



## **Supplemental Application Data Sheet**

### **Application Information**

<u>Application number::</u>	<u>10/569,316</u>
<u>Filing Date::</u>	<u>02/22/06</u>
Application Type::	Regular
Subject Matter::	Utility
<u>Suggested Group Art Unit::</u>	<u>N/A-1618</u>
CD-ROM or CD-R?::	None
Sequence submission?::	None
Computer Readable Form (CRF)?::	No
Title::	SELF-NANOEMULSIFYING OILY FORMULATION FOR THE ADMINISTRATION OF POORLY WATER- SOLUBLE DRUGS
<u>Attorney Docket Number::</u>	<u>PLASSR 3.3-001</u>
Request for Early Publication?::	No
Request for Non-Publication?::	No
Suggested Drawing Figure::	1
Total Drawing Sheets::	2
Small Entity?::	No
Petition included?::	No
Secrecy Order in Parent Appl.?::	No

### **Applicant Information**

Applicant Authority Type::	Inventor
Primary Citizenship Country::	France
Status::	Full Capacity
Given Name::	Jean-Sébastien
Family Name::	Garrigue
City of Residence::	Verrieres Le Buisson
Country of Residence::	France

Street of mailing address:: 7, résidence du Clos de Verrières  
City of mailing address:: Verrieres Le Buisson  
Country of mailing address:: France  
Postal or Zip Code of mailing address:: F-91370

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: France  
Status:: Full Capacity  
Given Name:: Grégory  
Family Name:: Lambert  
City of Residence:: Chatenay Malabry  
Country of Residence:: France  
Street of mailing address:: Les Murs Blancs 19, rue Henri Marrou  
City of mailing address:: Chatenay Malabry  
Country of mailing address:: France  
Postal or Zip Code of mailing address:: F-92200

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: Madagascar  
Status:: Full Capacity  
Given Name:: Alain  
Family Name:: Razafindratsita  
City of Residence:: Chevilly La Rue  
Country of Residence:: France  
Street of mailing address:: 166, rue Petit Leroy  
City of mailing address:: Chevilly La Rue  
Country of mailing address:: France  
Postal or Zip Code of mailing address:: F-94550

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: Israel  
Status:: Full Capacity

Given Name:: Simon  
Family Name:: Benita  
City of Residence:: Mevasseret Sion  
Country of Residence:: Israel  
Street of mailing address:: 33/3 Haarazim Street  
City of mailing address:: Mevasseret Sion  
Country of mailing address:: Israel  
Postal or Zip Code of mailing address:: 90805

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: People's Republic of China  
Status:: Full Capacity  
Given Name:: Shicheng  
Family Name:: Yang  
City of Residence:: West Lafayette  
State or Province of Residence:: IN  
Country of Residence:: US  
Street of mailing address:: Dept. of Industrial and Physical Pharmacy  
Purdue University; School of Pharmacy  
City of mailing address:: West Lafayette  
State or Province of mailing address:: IN  
Postal or Zip Code of mailing address:: 47907-1336

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: Turkey  
Status:: Full Capacity  
Given Name:: Neslihan  
Family Name:: Gursoy  
City of Residence:: Ankara  
Country of Residence:: Turkey  
Street of mailing address:: Abdullah Cevdet S. 30/6 Cankaya  
City of mailing address:: Ankara

Country of mailing address:: Turkey

Postal or Zip Code of mailing address:: 06680

### **Correspondence Information**

Correspondence Customer Number:: 000530

### **Representative Information**

Representative Customer Number:: 000530

### **Domestic Priority Information**

<u>Application::</u>	<u>Continuity Type::</u>	<u>Parent Application::</u>	<u>Parent Filing Date::</u>
This Application	National Stage of	PCT/IB2004/003077	08/27/04
PCT/IB2004/003077	An application claiming the benefit under 35 USC 119(e)	60/498,663	08/29/03

### **Foreign Priority Information**

<u>Country::</u>	<u>Application number::</u>	<u>Filing Date::</u>	<u>Priority Claimed::</u>
European Patent Office	03292141.3	08/29/03	Yes

### **Assignee Information**

Assignee name:: Novagali Pharma SA

Street of mailing address:: 1, rue Pierre Fontaine

City of mailing address:: Evry

Country of mailing address:: France

Postal or Zip Code of mailing address:: F-91000

Assignee name:: Yissum Research Development Company

Of The Hebrew University Of Jerusalem

Street of mailing address:: Edmond J. Safra Campus, Givat Ram

Hi Tech Park

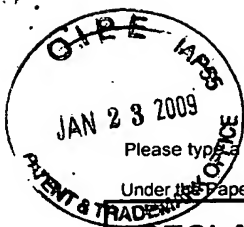
City of mailing address:: Jerusalem

Country of mailing address:: Israel

Postal or Zip Code of mailing address:: 91390

# **EXHIBIT C**





Please type a plus sign (+) inside this box ☐

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

☐ Declaration  
Submitted  
with Initial  
Filing

OR

☐ Declaration  
Submitted after Initial  
Filing (surcharge  
(37 CFR 1.16 (e))  
required)

Attorney Docket Number

First Named Inventor

Jean - Sébastien GARRIGUE

**COMPLETE IF KNOWN**

Application Number

Filing Date

Group Art Unit

Examiner Name

**As a below named inventor, I hereby declare that:**

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am an original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**SELF-NANOEMULSIFYING OILY FORMULATION FOR THE ADMINISTRATION OF POORLY  
WATER-SOLUBLE DRUGS**

*(Title of the Invention)*

the specification of which

☐ is attached hereto  
OR

☒ was filed on

**08/27/2004**

as United States Application Number or PCT International

Application No.

**PCT/IB2004/003077**

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365 (a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
03292141.3 60/498,663	Europe USA	08/29/2003 08/29/2003	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

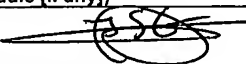
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## DECLARATION — Utility or Design Patent Application

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Customer Number 000530

Direct all correspondence to: <input checked="" type="checkbox"/> Customer Number or Bar Code Label		000530		OR <input type="checkbox"/> Correspondence address below	
<b>Name</b>					
<b>Address</b>					
<b>City</b>			<b>State</b>		<b>ZIP</b>
<b>Country</b>		<b>Telephone</b>		<b>Fax</b>	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.					
<b>NAME OF SOLE OR FIRST INVENTOR:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor	
<b>Given Name (first and middle (if any))</b>			<b>Family Name or Surname</b>		
Jean-Sébastien			GARRIGUE		
<b>Inventor's Signature</b>				<b>Date</b>	
				10/05/06	
<b>Residence: City</b>		<b>State</b>	<b>Country</b>		<b>Citizenship</b>
VERRIERES LE BUISSON			FRANCE		French
<b>Mailing Address:</b>	7, résidence du Clos de Verrières, 91370 VERRIERES LE BUISSON - FRANCE				
<b>City</b>		<b>State</b>	<b>ZIP</b>		<b>Country</b>
VERRIERES LE BUISSON			91370		FRANCE
<b>NAME OF SECOND INVENTOR:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor	
<b>Given Name (first and middle (if any))</b>			<b>Family Name or Surname</b>		
Gregory			LAMBERT		
<b>Inventor's Signature</b>				<b>Date</b>	
<b>Residence: City</b>				<b>State</b>	<b>Citizenship</b>
CHATENAY MALABRY					French
<b>Mailing Address:</b>	Les Murs Blancs, 19, rue Henri Marrou 92200 CHATENAY MALABRY - FRANCE				
<b>City</b>		<b>State</b>	<b>ZIP</b>		<b>Country</b>
CHATENAY MALABRY			92200		FRANCE
<input type="checkbox"/> Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.					

LD-537A

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DECLARATION		ADDITIONAL INVENTOR(S) Supplemental Sheet		Page ____ of ____
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Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle (if any))		Family Name or Surname		
Alain		RAZAFINDRATSITA		
Inventor's Signature		Date		
Residence: City: CHEVILLY LA RUE	State	Country France	Citizenship: Madagascan	
Mailing Address:	166, rue Petit Leroy – 94550 CHEVILLY LARUE – FRANCE			
CHEVILLY LA RUE	State	Zip: 94550	Country: FRANCE	

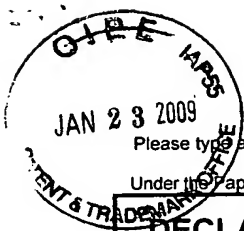
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle (if any))		Family Name or Surname		
Simon		BENITA		
Inventor's Signature		Date		
Residence: City MEVASSERET SION	State	Country ISRAEL	Citizenship Israeli	
Mailing Address:	City 33/3 Haarazim Street – 90805 MEVASSERET SION - ISRAEL			
City MEVASSERET SION	State	Zip 90805	Country ISRAEL	

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle (if any))		Family Name or Surname		
Shicheng		YANG		
Inventor's Signature		Date		
Residence: City : WEST LAFAYETTE	State	Country USA	Citizenship : Chinese	
Mailing Address:	dept.of Indust. and Physical, Pharmacy, School of Pharmacy, Purdue University 47907-1336 WEST LAFAYETTE - USA			
City WEST LAFAYETTE	State	Zip 47907-1336	Country : USA	

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle (if any))		Family Name or Surname		
Neslihan		GURSOY		
Inventor's Signature		Date		
Residence: City JERUSALEM	State	Country	Citizenship : Turkish	
Mailing Address:	Gordon Street, 4/6 Kiryat Hayovel JERUSALEM - TURKEY			
City JERUSALEM	State	Zip	Country : Turkei	



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PTO/SB/01 (03-01)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

☐ Declaration  
Submitted  
with Initial  
Filing

OR

☐ Declaration  
Submitted after Initial  
Filing (surcharge  
(37 CFR 1.16 (e))  
required)

Attorney Docket Number

First Named Inventor

Jean - Sébastien GARRIGUE

**COMPLETE IF KNOWN**

Application Number

Filing Date

Group Art Unit

Examiner Name

**As a below named inventor, I hereby declare that:**

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*(Title of the Invention)*

the specification of which

☐ is attached hereto

OR



was filed on

08/27/2004

as United States Application Number or PCT International

Application No.

PCT/IB2004/003077

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

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I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365 (a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
03292141.3 60/498,663	Europe USA	08/29/2003 08/29/2003	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

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## DECLARATION — Utility or Design Patent Application

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Customer Number 000530

Direct all correspondence to:		<input checked="" type="checkbox"/> Customer Number or Bar Code Label	000530		OR	<input type="checkbox"/> Correspondence address below
Name						
Address						
City			State		ZIP	
Country		Telephone			Fax	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.						
NAME OF SOLE OR FIRST INVENTOR:				<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle [if any])			Jean-Sébastien		Family Name or Surname GARRIGUE	
Inventor's Signature				Date		
Residence: City :VERRIERES LE BUISSON		State	Country : FRANCE		Citizenship: French	
Mailing Address:	7, résidence du Clos de Verrières, 91370 VERRIERES LE BUISSON - FRANCE					
City : VERRIERES LE BUISSON		State	ZIP: 91370		Country: FRANCE	
NAME OF SECOND INVENTOR:				<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle [if any])			Gregory		Family Name or Surname LAMBERT	
Inventor's Signature 				Date 27.04.2006		
Residence: City: CHATENAY MALABRY		State	Country: France		Citizenship: French	
Mailing Address:	Les Murs Blancs, 19, rue Henri Marrou 92200 CHATENAY MALABRY - FRANCE					
City: CHATENAY MALABRY		State	ZIP:92200		Country: FRANCE	
<input type="checkbox"/> Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.						

L.D-537\

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DECLARATION			ADDITIONAL INVENTOR(S) Supplemental Sheet		Page ____ of ____
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Name of Additional Joint Inventor, if any:			<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle (if any))			Family Name or Surname		
Alain			RAZAFINDRATSITA		
Inventor's Signature				Date	
Residence: City: CHEVILLY LA RUE		State	Country France		Citizenship: Madagascan
Mailing Address: 166, rue Petit Leroy – 94550 CHEVILLY LARUE – FRANCE					
CHEVILLY LA RUE		State	Zip: 94550		Country: FRANCE

Name of Additional Joint Inventor, if any:			<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle (if any))			Family Name or Surname		
Simon			BENITA		
Inventor's Signature				Date	
Residence: City MEVASSERET SION		State	Country ISRAEL		Citizenship Israeli
Mailing Address: City 33/3 Haarazim Street – 90805 MEVASSERET SION - ISRAEL					
City MEVASSERET SION		State	Zip 90805		Country ISRAEL

Name of Additional Joint Inventor, if any:			<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle (if any))			Family Name or Surname		
Shicheng			YANG		
Inventor's Signature				Date	
Residence: City : WEST LAFAYETTE		State	Country USA		Citizenship : Chinese
Mailing Address: dept. of Indust. and Physical, Pharmacy, School of Pharmacy, Purdue University 47907-1336 WEST LAFAYETTE - USA					
City WEST LAFAYETTE		State	Zip 47907-1336		Country : USA

Name of Additional Joint Inventor, if any:			<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle (if any))			Family Name or Surname		
Neslihan			GURSOY		
Inventor's Signature				Date	
Residence: City JERUSALEM		State	Country		Citizenship : Turkish
Mailing Address: Gordon Street, 4/6 Kiryat Hayovel JERUSALEM - TURKEY					
City JERUSALEM		State	Zip		Country : Turkei



Please type a plus sign (+) inside this box



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DESIGN  
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(37 CFR 1.63)**

☐ Declaration  
Submitted  
with Initial  
Filing

OR

☐ Declaration  
Submitted after Initial  
Filing (surcharge  
(37 CFR 1.16 (e))  
required)

Attorney Docket Number

First Named Inventor

Jean - Sébastien GARRIGUE

**COMPLETE IF KNOWN**

Application Number

Filing Date

Group Art Unit

Examiner Name

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*(Title of the Invention)*

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Application No. **PCT/IB2004/003077** and was amended on (MM/DD/YYYY) (if applicable).

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			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

Please type a plus sign (+) inside this box



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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## DECLARATION — Utility or Design Patent Application

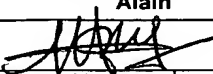
**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Customer Number 000530

Direct all correspondence to:		<input checked="" type="checkbox"/> Customer Number or Bar Code Label	000530	OR	<input type="checkbox"/> Correspondence address below
Name					
Address					
City		State		ZIP	
Country		Telephone		Fax	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.					
NAME OF SOLE OR FIRST INVENTOR:				<input type="checkbox"/> A petition has been filed for this unsigned inventor.	
Given Name (first and middle [if any])			Jean-Sébastien		
Family Name or Surname			GARRIGUE		
Inventor's Signature					Date
Residence: City: VERRIERES LE BUISSON		State		Country: FRANCE	
Citizenship: French					
Mailing Address:	7, résidence du Clos de Verrières, 91370 VERRIERES LE BUISSON - FRANCE				
City: VERRIERES LE BUISSON		State		ZIP: 91370	
Country: FRANCE					
NAME OF SECOND INVENTOR:				<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])			Gregory		
Family Name or Surname			LAMBERT		
Inventor's Signature					Date
Residence: City: CHATENAY MALABRY		State		Country: France	
Citizenship: French					
Mailing Address:	Les Murs Blancs, 19, rue Henri Marrou 92200 CHATENAY MALABRY - FRANCE				
City: CHATENAY MALABRY		State		ZIP: 92200	
Country: FRANCE					
<input type="checkbox"/> Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.					

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<b>DECLARATION</b>		<b>ADDITIONAL INVENTOR(S) Supplemental Sheet</b>	
		Page ____ of ____	
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Alain		RAZAFINDRATSITA	
Inventor's Signature 		Date 7/4/06	
Residence: City: CHEVILLY LA RUE		State Country France Citizenship: Madagascan	
Mailing Address: 166, rue Petit Leroy – 94550 CHEVILLY LARUE – FRANCE			
CHEVILLY LA RUE		State Zip: 94550 Country: FRANCE	
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
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Simon		BENITA	
Inventor's Signature		Date	
Residence: City MEVASSERET SION		State Country ISRAEL Citizenship Israeli	
Mailing Address: City 33/3 Haarazim Street – 90805 MEVASSERET SION - ISRAEL			
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<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Shicheng		YANG	
Inventor's Signature		Date	
Residence: City : WEST LAFAYETTE		State Country USA Citizenship : Chinese	
Mailing Address: dept.of Indust. and Physical, Pharmacy, School of Pharmacy, Purdue University 47907-1336 WEST LAFAYETTE - USA			
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Given Name (first and middle (if any))		Family Name or Surname	
Neslihan		GURSOY	
Inventor's Signature		Date	
Residence: City JERUSALEM		State Country Citizenship : Turkish	
Mailing Address: Gordon Street, 4/6 Kiryat Hayovel JERUSALEM - TURKEY			
City JERUSALEM		State Zip Country : Turkei	



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Attorney Docket Number

First Named Inventor

Jean -Sébastien GARRIGUE

**COMPLETE IF KNOWN**

Application Number

Filing Date

Group Art Unit

Examiner Name

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WATER-SOLUBLE DRUGS**

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

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				YES	NO
03292141.3 60/498,663	Europe USA	08/29/2003 08/29/2003	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

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<b>Given Name (first and middle (if any))</b> Jean-Sébastien			<b>Family Name or Surname</b> GARRIGUE	
<b>Inventor's Signature</b>			<b>Date</b>	
<b>Residence: City:</b> VERRIERES LE BUISSON		<b>State</b>	<b>Country:</b> FRANCE	<b>Citizenship:</b> French
<b>Mailing Address:</b>	7, résidence du Clos de Verrières, 91370 VERRIERES LE BUISSON - FRANCE			
<b>City:</b> VERRIERES LE BUISSON		<b>State</b>	<b>ZIP:</b> 91370	<b>Country:</b> FRANCE
<b>NAME OF SECOND INVENTOR:</b>			<input type="checkbox"/> A petition has been filed for this unsigned inventor	
<b>Given Name (first and middle (if any))</b> Gregory			<b>Family Name or Surname</b> LAMBERT	
<b>Inventor's Signature</b>			<b>Date</b>	
<b>Residence: City:</b> CHATENAY MALABRY		<b>State</b>	<b>Country:</b> France	<b>Citizenship:</b> French
<b>Mailing Address:</b>	Les Murs Blancs, 19, rue Henri Marrou 92200 CHATENAY MALABRY - FRANCE			
<b>City:</b> CHATENAY MALABRY		<b>State</b>	<b>ZIP:</b> 92200	<b>Country:</b> FRANCE
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Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle (if any)) <b>Alain</b>		Family Name or Surname <b>RAZAFINDRATSITA</b>		
Inventor's Signature		Date		
Residence: City: CHEVILLY LA RUE	State	Country France	Citizenship: Madagascan	
Mailing Address:	166, rue Petit Leroy – 94550 CHEVILLY LARUE – FRANCE			
CHEVILLY LA RUE	State	Zip: 94550	Country: FRANCE	

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle (if any)) <b>Simon</b>		Family Name or Surname <b>BENITA</b>		
Inventor's Signature		Date <b>16 March, 2006</b>		
Residence: City MEVASSERET SION	State	Country ISRAEL	Citizenship Israeli	
Mailing Address:	City 33/3 Haarazim Street – 90805 MEVASSERET SION - ISRAEL			
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Given Name (first and middle (if any)) <b>YANG</b>		Family Name or Surname <b>Shicheng</b>		
Inventor's Signature		Date		
Residence: City : WEST LAFAYETTE	State	Country USA	Citizenship : Chinese	
Mailing Address:	dept.of Indust. and Physical, Pharmacy, School of Pharmacy, Purdue University 47907-1336 WEST LAFAYETTE - USA			
City WEST LAFAYETTE	State	Zip 47907-1336	Country : USA	

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle (if any)) <b>GURSOY</b>		Family Name or Surname <b>Neslihan</b>		
Inventor's Signature		Date		
Residence: City JERUSALEM	State	Country	Citizenship : Turkish	
Mailing Address:	Gordon Street, 4/6 Kiryat Hayovel JERUSALEM - TURKEY			
City JERUSALEM	State	Zip	Country : Turkei	



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**COMPLETE IF KNOWN**

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Examiner Name

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03292141.3 60/498,663	Europe USA	08/29/2003 08/29/2003	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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NAME OF SOLE OR FIRST INVENTOR:				<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle [if any])			Jean-Sébastien		Family Name or Surname	
					GARRIGUE	
Inventor's Signature				Date		
Residence: City: VERRIERES LE BUISSON		State		Country: FRANCE		Citizenship: French
Mailing Address:	7, résidence du Clos de Verrières, 91370 VERRIERES LE BUISSON - FRANCE					
City: VERRIERES LE BUISSON		State		ZIP: 91370		Country: FRANCE
NAME OF SECOND INVENTOR:				<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle [if any])			Gregory		Family Name or Surname	
					LAMBERT	
Inventor's Signature				Date		
Residence: City: CHATENAY MALABRY		State		Country: France		Citizenship: French
Mailing Address:	Les Murs Blancs, 19, rue Henri Marrou 92200 CHATENAY MALABRY - FRANCE					
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Given Name (first and middle (if any)) <b>Alain</b>		Family Name or Surname <b>RAZAFINDRATSITA</b>	
Inventor's Signature		Date	
Residence: City: CHEVILLY LA RUE		Citizenship: Madagascan	
State		Country France	
Mailing Address: 166, rue Petit Leroy – 94550 CHEVILLY LARUE – FRANCE			
CHEVILLY LA RUE		Country: FRANCE	
State		Zip: 94550	
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
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Inventor's Signature		Date	
Residence: City MEVASSERET SION		Citizenship Israeli	
State		Country ISRAEL	
Mailing Address: City 33/3 Haarazim Street – 90805 MEVASSERET SION - ISRAEL			
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Inventor's Signature <i>Shicheng Yang</i>		Date <i>3-28-06</i>	
Residence: City : WEST LAFAYETTE		Citizenship : Chinese	
State		Country USA	
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Family Name or Surname			GARRIGUE		
Inventor's Signature					Date
Residence: City :VERRIERES LE BUISSON		State	Country : FRANCE		Citizenship: French
Mailing Address:	7, résidence du Clos de Verrières, 91370 VERRIERES LE BUISSON - FRANCE				
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Family Name or Surname			LAMBERT		
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CHEVILLY LA RUE	State	Zip: 94550	Country: FRANCE	

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City MEVASSERET SION	State	Zip 90805	Country ISRAEL	

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle (if any))		Family Name or Surname		
YANG		Shicheng		
Inventor's Signature		Date		
Residence: City : WEST LAFAYETTE	State	Country USA	Citizenship : Chinese	
Mailing Address:	dept.of Indust. and Physical, Pharmacy, School of Pharmacy, Purdue University 47907-1336 WEST LAFAYETTE - USA			
City WEST LAFAYETTE	State	Zip 47907-1336	Country : USA	

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle (if any))		Family Name or Surname		
GURSOY		Neslihan		
Inventor's Signature		Date 20.03.2006		
Residence: City ANKARA	State	Country	Citizenship : Turkish	
Mailing Address:	Abdullah Cevdet S. 30/6 Çankaya-ANKARA - TURKEY			
City ANKARA	State	Zip 06680	Country : TURKEY	

# **EXHIBIT B**

**Box No. VIII (iv) DECLARATION: INVENTORSHIP** (only for the purposes of the designation of the United States of America)  
*The declaration must conform to the following standardized wording provided for in Section 214, see Notes to Boxes Nos. VIII, VIII (i) to (v) (in general) and the specific Notes to Box No. VIII (iv). If this Box is not used, this sheet should not be included in the request.*

**Declaration of inventorship (Rules 4.17(iv) and 51bis.1(a)(iv))  
 for the purposes of the designation of the United States of America:**

I hereby declare that I believe I am the original, first and sole (if only one inventor is listed below) or joint (if more than one inventor is listed below) inventor of the subject matter which is claimed and for which a patent is sought.

This declaration is directed to the international application of which it forms a part (if filing declaration with application).

This declaration is directed to international application No. PCT/IB2004/003077 (if furnishing declaration pursuant to Rule 26ter).

I hereby declare that my residence, mailing address, and citizenship are as stated next to my name

I hereby state that I have reviewed and understand the contents of the above-identified international application, including the claims of said application. I have identified in the request of said application, in compliance with PCT Rule 4.10, any claim to foreign priority, and I have identified below, under the heading "Prior Applications," by application number, country or Member of the World Trade Organization, day, month and year of filing, any application for a patent or inventor's certificate filed in a country other than the United States of America, including any PCT international application designating at least one country other than the United States of America, having a filing date before that of the application on which foreign priority is claimed

Prior Applications: .....

I hereby acknowledge the duty to disclose information that is known by me to be material to patentability as defined by 37 C.F.R. § 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the PCT international filing date of the continuation-in-part application.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name: GARRIGUE Jean Sébastien

Residence: 91370 VERRIERES LE BUISSON - FRANCE

(city and either US state, if applicable, or country)

Mailing Address: 128, rue d'Estienne d'Orves 91370 VERRIERES LE BUISSON - FRANCE

Citizenship: French

Inventor's Signature: 

(if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)

Date: 01.12.2004

(of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)

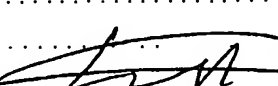
Name: LAMBERT Gregory

Residence: 91370 VERRIERES LE BUISSON - FRANCE

(city and either US state, if applicable, or country)

Mailing Address: 38, route des Gatines 91370 VERRIERES LE BUISSON - FRANCE

Citizenship: French

Inventor's Signature: 

(if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)

Date: 01.12.2004

(of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)

☐ This declaration is continued on the following sheet, "Continuation of Box No. VIII (iv)".



**Continuation of Box No. VIII (i) to (v) DECLARATION**

*If the space is insufficient in any of Boxes Nos. VIII (i) to (v) to furnish all the information, including in the case where more than two inventors are to be named in Box No. VIII (iv), in such case, write "Continuation of Box No. VIII .." (indicate the item number of the Box) and furnish the information in the same manner as required for the purposes of the Box in which the space was insufficient. If additional space is needed in respect of two or more declarations, a separate continuation box must be used for each such declaration. If this Box is not used, this sheet should not be included in the request.*

Name RAZAFINDRATSITA Alain

Residence : 94550 CHEVILLY LARUE - FRANCE

Mailing Address : 166, rue Petit Leroy 94550 CHEVILLY LARUE - FRANCE

Citizenship : Madagascan

Inventor's Signature : 


Date : 01/12/04

Name BENITA Simon

Residence : 90805 MEVASSERET ZION

Mailing Address : 33/3 Haarazim Street 90805 MEVASSERET ZION - FRANCE

Citizenship : Israel

Inventor's Signature : 

Date : 19.12.04


Name YANG Shicheng

Residence : University West Lafayette, IN 47907 - 1336 ETATS-UNIS D'AMERIQUE

Mailing Address : Dept. of Industrial and Physical Pharmacy, School of

Pharmacy Purdue, University West Lafayette, IN 47907-1336 ETATS-UNIS D'AMERIQUE

Citizenship : Chinese

Inventor's Signature : 

Date : Jan. 13, 2005

Name GURSOY Neslihan

Residence : JERUSALEM - ISRAEL

Mailing Address : Gordon Street, 4/6 Kiryat Hayovel JERUSALEM - ISRAEL

Citizenship : Turkish

Inventor's Signature : 

Date : X 31.12.04

# **EXHIBIT A**



TFW Keejg  
600 SOUTH AVENUE WEST • WESTFIELD, NEW JERSEY 07090  
908.654.5000 • FAX 908.654.7866 • WWW.LDLKM.COM

PATENTS, TRADEMARKS, COPYRIGHTS & UNFAIR COMPETITION

September 29, 2006

Commissioner For Patents  
Office of Initial Patent Examination  
Customer Service Center  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: Our File: REGIM 3.3-083  
Appln. No. 10/569,316  
Filing Date: February 22, 2006  
Group Art Unit: 1614

Dear Sir:

We are enclosing herewith copies of the filing receipt and Application Data Sheet for the above-identified patent application.

Please note that the enclosed filing receipt contains several errors, as follows:

- In the "Applicant(s)" section, the residence for the second-named Applicant, Gregory Lambert, should read --Chatenay Malabry, FRANCE--, not "Verrieres Le Buisson."
- In the "Applicant(s)" section, the residence for the third-named Applicant, Alain Razafindratsita, should read --Chevilly La Rue--, not "Larue."
- In the "Applicant(s)" section, the residence for the fourth-named Applicant, Simon Benita, should read --Mevasseret Sion, ISRAEL--, not "Mevasseret Zion, FRANCE."
- In the "Applicant(s)" section, please DELETE the fifth- and sixth-named Applicants, Shicheng Yang and Neslihan Gursoy.
- In the section entitled "Assignment for Published Patent Application," please ADD the second Assignee to read --Yisum Research Development Company of the Hebrew University of Jerusalem, Jerusalem, ISRAEL--.



Commissioner For Patents  
Office of Initial Patent Examination  
U.S. Appln. No. 10/569,316  
September 29, 2006  
Page 2

Kindly issue a corrected filing receipt for the above-identified application as soon as possible.

Sincerely yours,

LERNER, DAVID, LITTENBERG,  
KRUMHOLZ & MENTLIK, LLP

ARNOLD H. KRUMHOLZ  
Reg. No. 25,428

AHK/lis  
Enclosures